

10/593364 September 18, 2006

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

Docket No. B-6119PCT 623709-5

International Application No.:  
PCT/US2005/009091

Applicant(s) for DO/EO/US:

International Filing Date:  
17 March 2005

- (1) Stuart Arthur BATEMAN,  
 (2) Francisco CARDONNA,  
 (3) Ranya SIMONS, (4) Dong Yang WU, (5) Douglas H. BERRY,  
 (6) James F. KIRCHNER (7) SEANA B. KOBAK, and (8) Jill E. SEEBERGH

Title of Invention: "ACTIVATION METHOD"

Priority Date Claimed:  
19 March 2004U.S. Application No.  
(if known, see 37 CFR 1.5)  
NOT YET ASSIGNED

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. Has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet with check for \$40.00 in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment. - with copy of IPER and 6 pages of Annexes (amended sheets)
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT rule 13ter.2 and 37CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 

copy of PCT application as published (81 pages total) including PCT application cover pages (3 pages); unsigned Declaration/Power of Attorney (5 pages); Claim to Priority (1 page); copies of signed PCT Request forms (5 pages); copy of PCT Demand (5 pages); copy of Written Opinion (3 pages); copy of form PCT/ISA/220 (4 pages); International Search Report (2 pages); and copy of form PCT/IPEA/416 (1 page).

The following fees are submitted:

21. ☒ Basic national fee.....\$ 300.00 = \$ 300.00

22. ☒ Examination fee  
If International preliminary examination report prepared by USPTO  
and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 100.00  
All other situations..... \$ 200.00 = \$ 200.00

23. ☒ Search fee  
Search fee (37 CFR 1.445(a)(2)) has been paid on the international  
application to the USPTO as an International Searching Authority.... \$ 100.00  
International Search Report prepared and provided to the Office..... \$ 400.00  
All other situations..... \$ 500.00 = \$ 400.00

**TOTAL OF 21, 22 and 23 = \$ 900.00**

[ ] Additional fee for specification and drawings filed in paper over  
100 sheets (excluding sequence listing or computer program listing  
filed in an electronic medium). The fee is \$250 for each additional  
50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	# of each additional 50 or fraction thereof	RATE	
<u>84</u> - 100 =	<u>          </u> / 50 =	<u>          </u>	x \$250.00	\$ <u>          </u>

Surcharge of \$130.00 for furnishing the oath or declaration later than  
30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$           

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	<u>43</u> - 20 =	<u>23</u>	x \$50.00	\$ <u>1150.00</u>
Independent claims	<u>3</u> - 3 =	<u>0</u>	x \$200.00	\$ <u>0</u>

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ 0

☒ Amendment deleting multiple dependencies enclosed

**TOTAL OF ABOVE CALCULATIONS = \$ 2050.00**

[ ] Applicant claims small entity status. See 37 CFR 1.27.  
The fees indicated above are reduced by 1/2. (50% of above) \$           

**SUBTOTAL = \$**

Processing fee of \$130.00 for furnishing the English translation later than  
30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$           

**TOTAL NATIONAL FEE = \$ 2050.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)).  
The assignment must be accompanied by an appropriate cover  
sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$           

**TOTAL FEES ENCLOSED = \$ 2050.00**

Amount to be refunded: \$             
charged: \$           

☒ A check in the amount of \$ 2050.00 to cover the above fees is enclosed.

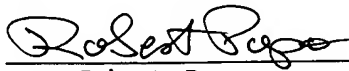
[ ] Please charge my Deposit Account No.            in the amount of \$            to  
cover the above fees. A duplicate copy of this sheet is enclosed.

☒ The commissioner is hereby authorized to charge any additional fees  
which may be required, or credit any overpayment to Deposit Account No.  
12-0415. A duplicate copy of this sheet is enclosed.

[ ] Fees are to be charged to a credit card. WARNING: Information on this  
form may become public. Credit card information should not be included on  
this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:  
LADAS & PARRY  
5670 Wilshire Boulevard, Suite 2100  
Los Angeles, California 90036-5679  
Telephone: (323) 934-2300  
Telefax: (323) 934-0202

  
Robert Popa  
Reg. No. 43,010

IN THE UNITED STATES DESIGNATED OFFICE DO/EO/US

REGARDING THE INTERNATIONAL APPLICATION OF:

- : (1) Stuart Arthur BATEMAN, (2) Francisco CARDONNA,  
(3) Ranya SIMONS, (4) Dong Yang WU, (5) Douglas H. BERRY,  
(6) James F. KIRCHNER, (7) Seana B. KOBAK, and  
(8) Jill E. SEEBERGH

ENTITLED: "ACTIVATION METHOD"

INTERNATIONAL APPLN. NO. PCT/US2005/009091

Our Ref: B-6119PCT 623709-5

MAIL STOP PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV889700405US

Date of Deposit: September 18, 2006

I hereby certify that the following attached papers or fee

- postcard
- check no. 21932 for \$2,050.00
- Transmittal Letter (2 pages)
- Preliminary Amendment (9 pages) with copy of International Preliminary Examination Report (IPER) (2 pages) and 6 pages of Annexes
- copy of PCT International Application as published consisting of:  
Title page with Abstract (3 pages); Specification (71 pages); Claims (5 pages); 2 sheets of drawings (Fig. 1-2); and International Search Report (2 pages)
- Unsigned Declaration/Power of Attorney (5 pages)
- Claim to Priority (1 page)
- copies of signed PCT Request forms (5 pages)
- copy of PCT Demand (5 pages)
- copy of Written Opinion (3 pages)
- copy of form PCT/ISA/220 (4 pages)
- copy of form PCT/IPEA/416 (1 page)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above in an envelope addressed to

"Mail Stop PCT

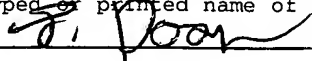
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450"

Lianne M. Doan

(Typed or printed name of person mailing paper or fee)



IA P9/Rec'd PCT/PTO 18 SEP 2006

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>	
Applicant(s): Stuart Arthur BATEMAN,	Re: Priority Claim
et al.	)
U.S. Appln. No.: not yet	) Group: not yet assigned
assigned	)
	)
U.S. Filing Date: concurrently	) Examiner: not yet assigned
herewith	)
	)
International Application No:	)
PCT/US2005/009091	)
International Filing Date:	) Our Ref.: B-6119PCT 623709-5
17 March 2005	)
	)
For: "ACTIVATION METHOD"	) Date: September 18, 2006

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